



HAND DELIVERED

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Rhode Island Ethics Commission

2009 YEARLY FINANCIAL STATEMENT

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ALL	QUESTIONS REFER TO THE C LESS OTHERWISE SPECIFIED.	ALENDAR YEAR JANUARY 1, 2	2009 THROUGH DECE	MBER 31, 2009			
PLE	PLEASE ANSWER ALL QUESTIONS AND WHERE YOUR ANSWER IS "NONE" OR "NOT APPLICABLE" SO						
STATE. ANSWERS SHOULD BE PRINTED OR TYPED, and additional sheets may be used if more space is needed. For clarification of any question, read instruction sheet.							
Note: If you are a state or municipal official or employee who is required to file a Yearly Financial Statement, a failure to file the							
	Statement is a violation of the law	and may subject you to substantial p	enalties, including fines. If v	ou received a 2009 Yearly			
	Financial Statement in the mai	I but believe you did not hold a p cs Commission (See Instruction Shed	ublic position in 2009 or	2010 that requires such			
	ming, you should contact the Eth	cs Commission (See Instruction Shed	et for contact information), .				
1.	NAME OF OFFICIAL	(LAST) (EIDET)	(INITL				
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2.	200	th Main	ST RI	09842			
	HOME ADDRESS	STREET) (CITY/TOW	N)	(ZIP CODE)			
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	MAILING ADDRESS (If different from home add	•					
3.	MAILING ADDRESS (If different from home add	nd governmental unit:					
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3.	MAILING ADDRESS (If different from home add	•					
3.	MAILING ADDRESS (If different from home address List Public Position(s) you hold a	nd governmental unit:		50			
3.	MAILING ADDRESS (If different from home address List Public Position(s) you hold a	nd governmental unit:	(MUNICIPALIT	Y, STATE OF REGIONAL)			
3.	MAILING ADDRESS (If different from home address List Public Position(s) you hold a (PUBLIC POSITION)	nd governmental unit: NSCALATUL	(MUNICIPALIT	50			
3.	MAILING ADDRESS (If different from home address List Public Position(s) you hold a (PUBLIC POSITION)	nd governmental unit:	(MUNICIPALIT	Y, STATE OF REGIONAL)			
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	List Public Position(s) you hold a (PUBLIC POSITION) (PUBLIC POSITION) I was elected on (date) If you no longer hold a public position to the public position of the public public position of the public position of the public position of the public pu	and governmental unit: $CSCMCMCMC$ s appointed on $\frac{1}{(\text{date})}$.	(MUNICIPALIT (MUNICIPALIT I was hired on (date) resignation	Y, STATE OR REGIONAL)			
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	List Public Position(s) you hold a (PUBLIC POSITION) (PUBLIC POSITION) I was elected on (date) If you no longer hold a public position to the public position of the public public position of the public position of the public position of the public pu	and governmental unit: $CSCMCMCMC$ s appointed on $\frac{1}{(\text{date})}$.	(MUNICIPALIT (MUNICIPALIT I was hired on (date) resignation	Y, STATE OR REGIONAL)			
4.	List Public Position(s) you hold a (PUBLIC POSITION) (PUBLIC POSITION) I was elected on (date) If you no longer hold a public position to the public position of the public public position of the public position of the public position of the public pu	appointed on (date) without state date of termination or were/are a candidate in either care	(MUNICIPALIT (MUNICIPALIT I was hired on (date) resignation	Y, STATE OR REGIONAL)			

0.	income during calendar year 2009 received. If employed by a state of municipal agency for an amount of	If self-employed, list any occupation from which sor municipal agency, or if self-employed and server income in excess of \$250, list the date and naisted in #3, above, provides you with an amo o Not List Amounts.)	\$1,000 or more gross income was rices were rendered to a state or ature of services rendered. If the
	NAME OF FAMILY MEMBER EMPLOYED O Brich O Brich	NAME AND ADDRESS OF EMPLOYER OR OCCUPATION BY EM TO STIEN LLD STATE OF RI City of Worn.	DATES AND NATURE OF SERVICES RENDERED Partner State Rep. muni Prosecutor City Councilor
(e 5(o Brien Ik & Brien Elli G. Brion	City of Woon. City of Woon Brien + Brien, LLP	PartoeR
	•	n of any real estate, other than your principal resid interest.	lence, in which you, your spouse,
	NAMES //A	NATURE OF INTEREST	ADDRESS OR DESCRIPTION
8.	List the name of any trust, name at child or children individually received NAME OF TRUST:	nd address of the trustee of any trust, from which red \$1,000 or more gross income. List assets if k	you, your spouse, or dependent mown. (Do Not List Amounts.)
	NAME OF TRUSTEE AND ADDRESS:		
	NAME OF FAMILY MEMBER RECEIVING TRUST INCOME:		
	ASSETS:		
9,	List the name and address of any your spouse, or dependent child he	business organization or other entity, whether for eld a position as a director, officer, partner, trusted	profit or non-profit, in which you, e, or a management position.
	NAME OF FAMILY MEMBER	NAME AND ADDRESS OF BUSINESS	POSITION
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10. List the name and address of any interested person, or business entity, that made total gifts or total contributions in excess of \$100 in cash or property during calendar year 2009 to you, your spouse, or dependent child. Certain gifts from relatives and certain campaign contributions are excluded. (See instruction #10)

NAME OF PERSON RECEIVING GIFT OR CONTRIBUTION

NAME AND ADDRESS OF PERSON OR ENTITY MAKING GIFT OR CONTRIBUTION

11. List the name and address of any business in which you, your spouse, or dependent child individually or collectively holds a 10% or greater ownership interest, or a \$5,000 or greater ownership or investment interest.

NAME OF FAMILY MEMBER Stella G. Brien

Jon D. Brien

NAME AND ADDRESS OF BUSINESS

Brien & Brien LLP 62 Hamlet dale, Woon RJ 02895

12. If any business listed in #11, above, did business in excess of a total of \$250 in calendar year 2009 with a state or municipal agency, AND you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS OF BUSINESS

NAME OF AGENCY

DATE AND NATURE OF TRANSACTION

13. If any business listed in #11, above, was a business entity subject to direct regulation by a state or municipal agency, AND you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS OF BUSINESS

NAME OF REGULATING AGENCY

14. If you, your spouse, or dependent child individually or collectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 2010 and before the date you file this statement AND if said business was regulated by a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following: NAME AND ADDRESS OF BUSINESS DESCRIPTION OF INTEREST (NOT AMOUNT) AND DATE ACQUIRED AND/OR DIVESTED NAME OF REGULATING AGENCY **HOW REGULATED** 15. If you, your spouse, or dependent child individually or coffectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 2010 and before the date you file this statement, which did business in excess of \$250 with a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following: NAME AND ADDRESS DESCRIPTION OF INTEREST NAME OF STATE OF BUSINESS DATE ACQUIRED AND/OR DIVESTED OR MUNICIPAL AGENCY (DO NOT INCLUDE AMOUNT) 16. If you, your spouse or dependent child were indebted in an amount in excess of \$1,000 to any person, business entity or other organization other than (i) any person related to you, your spouse or dependent child at any time within the third degree of consanguinity, or (ii) a financial institution regulated by any state or by the United States where such indebtedness is secured solely by a mortgage of record on real property used exclusively as your principal residence, or (iii) any indebtedness arising from transactions involving credit cards, please list the following: NAME AND ADDRESS OF DEBTOR NAME AND ADDRESS OF LENDER I certify under penalty of perjury, that this Financial Statement is a complete and accurate response to the questions presented as to the financial information and interests during the year 2009 of myself, my spouse, and my dependent children. I acknowledge that I may request an advisory opinion from the Ethics Commission as to my conduct under the Code of Ethics. I understand that a copy of the Code of Ethics will be provided to me at no cost upon request by contacting the Ethics Commission. SIGNATÚRE State of Rhode Island County of

THIS STATEMENT WILL BE RETURNED IF IT IS NOT SIGNED AND NOTARIZED AND IF ANY QUESTION IS NOT ANSWERED.

Subscribed and sworn to before me a

My Commission expires: